LA-UR-01-xxxx
Approved for public release; distribution is unlimited

Approximation Algorithms for Maximum Two-dimensional Pattern Matching

Srinivasa R. Arikati Anders Dessmark Andrzej Lingas Madhav V. Marathe

to appear in *Theoretical Computer Science*

LOS ALAMOS
NATIONAL LABORATORY
Los Alamos National Laboratory, an affirmative action/equal opportunity employer, is operated by the University of California for the U.S. Department of Energy under contract W-7405-ENG-36. By acceptance of this article, the publisher recognizes that the U.S. Government retains a non-exclusive, royalty-free license to publish or reproduce the published form of this contribution, or to allow others to do so, for U.S. Government purposes. Los Alamos National Laboratory requests that the publisher identify this article as work performed under the auspices of the U.S. Department of Energy. The Los Alamos National Laboratory strongly supports academic freedom and a researcher's right to publish; as an institution, however, the Laboratory does not endorse the viewpoint of a publication or guarantee its technical correctness.

This page is blank

Approximation Algorithms for Maximum Two-dimensional Pattern Matching

Srinivasa R. Arikati* Anders Dessmark† Andrzej Lingas‡
Madhav V. Marathe ‡

May 4, 2001

Abstract

We introduce the following optimization version of the classical pattern matching problem (referred to as the *maximum pattern matching problem*). Given a two-dimensional rectangular text and a two-dimensional rectangular pattern, find the maximum number of non-overlapping occurrences of the pattern in the text.

Unlike the classical two-dimensional pattern matching problem, the maximum two-dimensional pattern matching problem is NP-complete. We devise polynomial time approximation algorithms and approximation schemes for this problem. We also briefly discuss how the approximation algorithms can be extended to include a number of other variants of the problem.

*Department of Mathematical Sciences, University of Memphis, Memphis, TN 38152. Email: arikati@cadence.com. Part of the work was done while the author was visiting Department of Computer Science, Lund University, Sweden; and and Max-Planck-Institut fuer Informatik, Saarbruecken, Germany.

†Department of Computer Science, Lund University, Box 117, S-221 00 Lund, Sweden. Email {Anders.Dessmark, Andrzej.Lingas}@dna.lth.se. The work is supported by TFR under Contract 93-159.

‡Basic and Applied Simulation Science, (D-2), Los Alamos National Laboratory, P.O. Box 1663, MS B265, Los Alamos, NM 87545. Email: marathe@lanl.gov. Research supported by the Department of Energy under Contract W-7405-ENG-36.

‡A preliminary version of the the paper appeared in the *Proc. Combinatorial Pattern Matching LNCS 1075, Springer Verlag, pp. 348-360 (1996).*

1 Introduction

Given a *pattern string* PAT and a *text string* T over a finite alphabet Σ, the classical pattern matching problem is to find all occurrences of PAT in T. In the recent years there has been growing interest in finding efficient algorithms for multi-dimensional pattern matching problems (see [2, 21, 10, 1, 5, 24] and the references therein.). Consider the following optimization variant of the classical pattern matching problem: Given a text T and a pattern PAT over a finite alphabet Σ, find the maximum number of *non-overlapping* occurrences of the pattern PAT in T. We call this problem the **maximum pattern matching** problem and use MPM_d to denote the maximum d-dimensional pattern matching problem. The maximum pattern matching problem arises naturally in the areas of automated digital image processing. For example, researchers at the Los Alamos National Laboratory are currently developing **CANDID**, the Comparison Algorithm for Navigating Digital Image Databases, which facilitates a query-by-example approach to image retrieval [8, 22, 23]. A user poses queries such as, "Show me all or the the maximum number of non-overlapping images in the database that contain textures similar to those in this example image". Such queries are useful in a variety of settings such as analysis of the images sent by remote sensing satellites and medical diagnostics (see [8, 22, 23] and the references therein). For other applications of two-dimensional matching and a general survey, we refer the reader to [14, 21].

2 Summary of Results

Here, for the first time in the literature, we study the problem MPM_d and several of its variants. In the one dimensional case, (i.e., the problem MPM_1) the maximum solution can be easily found by successively taking the leftmost non-overlapping (with those already selected) location, if all possible locations are precomputed. In the case of tree matching the intersection graph corresponding to the set of matching locations is chordal [15]. Therefore, the maximum number can be found in time linear in the size of the graph and the size of the text, by combining the results in [16] and [29]. For $d \geq 2$, MPM_d becomes harder to solve [9]. Specifically, we observe that a known NP-completeness result on planar geometric packing [13] implies the NP-completeness of the problem of maximum two-dimensional pattern matching (MPM_2). In Section 4, we give a simple and efficient approximation algorithm with performance guarantee of 2 for the problem MPM_2. If the set of the so-called periods of the pattern is appropriately restricted, our simple approach yields maximum solutions. In Section 5, we present our first involved approximation algorithm for MPM_2, based on good separation properties of the intersection graph of the pattern locations. Our proof of these properties might be of independent interest. The separator-based approximation algorithm yields a solution of relative error $O(1/\sqrt{\log \log n})$ for constant size patterns, and runs in $O(n \log n)$ time, on an input of size n. In Section 6, we present our second approximation algorithm for MPM_2 based on the *shifting strategy* introduced by Baker [4] and by

Hochbaum and Maass [19, 20]. Specifically, when patterns are of fixed size, we obtain fast parallel approximation schemes for MPM_2. In the last section we briefly describe the various extensions of our results for MPM_2.

3 Preliminaries

Following [1], the *two-dimensional exact pattern matching* is defined as follows.
Input: A text matrix $T[1,\ldots,n][1,\ldots,n']$, and a pattern matrix $PAT[1,\ldots,m]$ $[1,\ldots,m']$ over a finite alphabet Σ.
Output: The set L of all location $[i,j]$ in T such that $T[i+k-1, j+l-1] = PAT[k,l]$, $1 \leq k \leq m$ and $1 \leq l \leq m'$.
For two-dimensional pattern matching, since there are known linear-time algorithms that find all possible locations of PAT in T [2, 3, 6], we assume that the set L of all such locations is known. Following standard convention, the size of a pattern PAT is the number of characters in PAT. Thus the size of a $m \times m'$ pattern is $O(mm')$ and the size of the $n \times n'$ text is $O(nn')$. Finally, we assume a RAM model of computation with uniform cost criterion.

We shall adhere to a standard notation for undirected graphs [18]. An *independent set* in a graph $G = (V, E)$ is a subset S of vertices such that no two vertices of S are adjacent in G. S is *maximal* if every vertex in $V - S$ is adjacent to some vertex in S. S is a *maximum independent set* if it has the maximum size among all independent sets of G. An *α-approximate independent set* is an independent set of size at least $(1/\alpha)$ times the size of a maximum independent set.

Recall that an approximation algorithm for a maximization problem Π has a performance guarantee of ρ, if for every instance I of Π, the solution value returned by the approximation algorithm is at least $\frac{1}{\rho}$ of an optimal solution for I.

Let $a < 1$ and $f : N \to N$. A class F of graphs has an $(a,\ f)$-*separator* if for each n-vertex graph $G \in F$ there is a subset S of the set of vertices of G whose removal disconnects G into two subgraphs G_1 and G_2 in F such that:

1. Both G_1 and G_2 have at most an vertices each;
2. S has at most $f(n)$ vertices.

We sometimes identify the notion of an (a, f)-separator with the separation subsets S. Consequently, we say that an (a, f)-separator is constructible in time t if such S are computable in time t.

Given T, PAT and the set L, we say that two locations $[i_1, j_1]$ and $[i_2, j_2]$ in L overlap, if and only if $|i_1 - i_2| < m$ and $|j_1 - j_2| < m'$. Let $G_L = (L, E_L)$ denote the intersection graph corresponding to L, i.e., for $l_1, l_2 \in L$, (l_1, l_2) is an edge of G_L if and only if the locations l_1 and l_2 of PAT overlap in T. The set L can also be thought of as defining a set of intersecting isothetic rectangles of size $m \times m'$ as follows. The isothetic equisized rectangles R are in

one-to-one correspondence with the set of locations in L. A rectangle $r \in R$ corresponding to a location $(i,j) \in L$ is placed with its left-upper corner at (i,j). It is clear that two rectangles in R intersect if and only if the corresponding locations overlap. Now, we can apply the well known methods for reporting intersections of isothetic rectangles in order to construct G_L. By Theorem 8.9 in [27], we have the following lemma.

Lemma 3.1 G_L can be constructed from L in $O(|L| \log |L| + |E_L|)$ time.

It can be easily verified that the problem MPM_2 reduces to finding a maximum independent set in G_L. Note that in general G_L corresponds to the intersection graph of equisized isothetic rectangles. Moreover, α-approximate independent sets in G_L are precisely α-approximate solutions to MPM_2.

The NP-hardness of MPM_2 immediately follows from the NP-hardness of the "BOX-PACK" problem studied in [13]. An instance of this problem consists of two integers, say k and m, and an isothetic polygon with (isothetic polygonal) holes and all vertices placed on an integer grid. The question is to decide if it is possible to find k isothetic, pairwise disjoint locations of the square of side length m within the polygon with holes. To reduce BOX-PACK to MPM_2 we simply set PAT to the $m \times m$ matrix of m^2 zeros, and model the input polygon P by setting the entries of T corresponding to the grid points inside P to 0 and the remaining entries to 1. Importantly, the area of the integer grid containing the instance of the packing problem, modeling an instance of $3SAT$ in [13], is polynomial in the size of the instance of $3SAT$. Thus MPM_2 is NP-hard. The graph representation G_L yields the membership of MPM_2 in NP.

Theorem 3.2 The maximum two-dimensional pattern matching problem is NP-complete.

4 Simple Approximations

Consider a maximum set S of non-overlapping locations of PAT in T. By a simple packing argument, it follows that any location in a maximal set of non-overlapping occurrences of PAT in T can overlap with at most four locations in S. Hence, the maximal set contains at least $|S|/4$ elements. The discussion also implies that the intersection graph G_L is a 5-claw free graph. (A d-claw is the graph $K_{1,d}$, i.e., a star with d independent neighbors. A graph is a d-claw free graph if it has no induced d-claw.) For the maximum independent set problem for d-claw free graphs, Halldórsson [17] gives a local improvement heuristic with performance guarantee of $\frac{d-1}{2} + \epsilon$, for any $\epsilon > 0$. Since the intersection graphs associated with the problem MPM_2 are 5-claw free the result in [17] can be used to obtain an algorithm for MPM_2 with asymptotic performance guarantee close to 2. We can obtain an alternative heuristic which is more efficient and has a performance guarantee 2 by observing the following. An extreme location of PAT in T in one of the four directions can overlap with at most two

other independent locations. Let ML be a maximal independent set in G_L constructed by repeatedly taking the vertex corresponding to the leftmost location of PAT and removing all its neighbors in the current graph. Then, we have the following lemma.

Lemma 4.1 The maximal independent set ML yields a 2-approximate solution to MPM_2.

Theorem 4.2 A 2-approximate solution to MPM_2 can be computed in $O(|nn'| + |L| \log |L| + |E_L|)$ time.

Proof: By Lemma 4.1, it suffices to construct the set ML within the stated time. To achieve this, we build the graph G_L and sort L by X-coordinate. The operation of extracting the leftmost location takes $O(1)$ time. The operation of deleting the overlapping location takes time proportional to the degree of corresponding vertex in G_L. Finally, recall that L can be constructed in $O(nn')$ time [9], and G_L in $O(|L| \log |L| + |E_L|)$ time by Lemma 3.1. □

4.1 Periods of Pattern

A *period* of the $m \times m'$ pattern array PAT is a non-null vector (r, s) such that $-m < r < m$, $0 \leq s < m'$, and $PAT[i, j] = PAT[r + i, s + j]$ whenever both sides are within PAT. There are two classes of periods depending on whether r is negative or not.

If the pattern array has periods of only one class, a simple algorithm for optimally solving MPM_2 can be designed based on the following lemma.

Lemma 4.3 If PAT has only nonnegative (negative) periods, no two locations corresponding to two vertices in the same connected component in G_L are such that one lies to the right and over (respectively, under) the other.

Proof: The proof is by contradiction. Let u, v respectively denote the vertices of G_L corresponding to two locations contradicting the lemma, e.g., in the nonnegative case. Clearly, they cannot be neighbors in G. Consider the shortest path P in G_L connecting u and v. Let l be the first location corresponding to a vertex in P such that the locations l_1 and l_2 corresponding to the neighbors in P are both to the right or both to the left of l. Note that both l_1 and l_2 have to cover the left-upper or the right-lower corner of l. Hence, there is an edge connecting the two neighboring vertices in G. We obtain a contradiction with the optimality of P. The proof in the negative case is symmetrical. □

By Lemma 4.3, we can order the vertices in each connected component of G_L according to their relative position in T, from the upper left or lower left corner depending on the class of periods. Now we can refine the 2-approximation algorithm given in the proof of Theorem 4.2 by giving preference to the vertex corresponding to the uppermost or the lowermost location respectively in a sweep from left to right. In result, we obtain the following theorem.

Theorem 4.4 If PAT has only nonnegative periods (or, only negative periods), then MPM_2 can be solved in time $O(|E_L| + nn' + |L| \log |L|)$.

It follows from Lemma 4.3 that G_L is a unit interval graph. Hence, G_L is in particular a chordal graph and a maximum independent set in G_L can be found in time linear in the size of G_L by [29] and [16]. This yields an alternative proof of Theorem 4.4.

5 Separator-based Approximation

In case PAT is of small size compared with T, e.g., constant size, we show below that an efficient approximation to MPM_2 exists and the approximation can be made arbitrarily close to the optimal solution. Our approach is inspired by the Lipton-Tarjan method [25] of computing approximate independent sets in planar graphs. From the sophisticated randomized and deterministic methods for constructing separators for geometric graphs given in [26] and [11] respectively, it follows that G_L has a good separator. Independently of [11, 26], we show that an equally good separator for G_L is simply induced by $m-1$ consecutive columns and/or $m'-1$ consecutive rows in T. This very simple separator construction is the basis of our sophisticated approximation algorithm for MPM_2.

Lemma 5.1 The class of graphs G_L has an $(5/6, O(\sqrt{mm'|L|}))$-separator constructible in $O(|L| + n/m + n'/m')$ time.

Proof: It is sufficient to prove the following. In time $O(|L| + n/m + n'/m')$ one can find a sequence of $m-1$ consecutive columns or $m'-1$ consecutive rows of T such that the locations of PAT in T with the left-upper corner in the sequence correspond to a subset of $O(\sqrt{mm'|L|})$ vertices of G_L disconnecting G_L into two subgraphs none of which has more than $5|L|/6$ vertices.

For convenience, we shall say that a vertex of G_L belongs to a subset S of entries of T if the left-upper corner of the location of PAT corresponding to this vertex is in S.

Group the n columns of T into nonoverlapping supercolumns, each consisting of $m-1$ consecutive columns of T (except possibly for the last one). Similarly, group the n' rows of T into superrows, each consisting of $m'-1$ consecutive rows of T (except possibly for the last one).

Note that the removal of all vertices of G_L belonging to a single supercolumn disconnects the two subgraphs of G_L induced by the vertices belonging respectively to the part of T to the left and to the part of T to the right of the supercolumn. A similar observation holds for the superrows.

Let C_l be the leftmost supercolumn such that there are at least a total of $|L|/6$ vertices in C_l and to the left of C_l in T. Symmetrically, let C_r be the rightmost supercolumn such that there are at least a total of $|L|/6$ vertices in C_r and to the right of C_r in T. Clearly,

both C_l and C_r are well defined and C_l cannot lie to the right of C_r. Let BC be the block of consecutive supercolumns starting from C_l and ending with C_r.

If BC contains a supercolumn different from C_l and C_r with at most $\sqrt{4mm'|L|}$ vertices we are done. Note that otherwise BC contains less than $\sqrt{|L|/(4mm')}$ supercolumns (in particular, if $\sqrt{|L|/(4mm')} < 1$, we obtain a contradiction).

Similarly, we define the analogous block BR of superrows. Similarly, if BR contains a superrow with less than $\sqrt{4mm'|L|}$ vertices we are done, and otherwise BR contains less than $\sqrt{|L|/(4mm')}$ superrows.

To observe that BC or BR always contains a supercolumn (or superrow, respectively) with at most $\sqrt{4mm'|L|}$ vertices, we argue as follows.

Let B be the intersection of BC with BR in T. Note that B has at least $|L|/3$ vertices. On the other hand, since B has both width less than $m'\sqrt{|L|/(4mm')}$ and height less than $m\sqrt{|L|/(4mm')}$, it cannot contain $|L|/3$ vertices. We thus obtain a contradiction.

To find the number of vertices in each supercolumn in BC and each superrow in BR, we search the graph G_L. While visiting a vertex v, we identify the supercolumn and the superrow it belongs to, increasing the counters associated with them by one. It takes $O(|L|)$ time. To find the number of vertices to the left and to the right of each supercolumn (or, below or above each superrow, respectively), we apply prefix sums. It takes $O(n/m + n'/m')$ time. □

For simplicity, we put $N = |L| + n/m + n'/m'$.

Theorem 5.2 For any positive integer k, G_L has a set of vertices C of size $O(|L|\sqrt{mm'}/\sqrt{k})$ whose removal from G_L leaves no connected component with more than k vertices. Furthermore C can be found in $O(N \log |L|)$ time.

Proof: Initialize $C := \emptyset$, and construct C as follows.

> **while** there is a connected component H of $G - C$ with more than k vertices **do**
> find a separator C' of H and set $C := C \cup C'$.

The construction of C may be visualized by means of a tree, whose vertices represent subgraphs of G (the root represents G) that are encountered during the execution of the procedure; the leaves correspond to the components of G with at most k vertices. Define the *level* of a vertex v in the tree as the height of the full subtree rooted in v. Clearly, any two subgraphs on the same level are vertex-disjoint. By induction it follows that each i-th level ($i \geq 1$) subgraph has at least $(1/a)^{i-1}k$ vertices for some constant $a < 1$. Thus the number of i-th level subgraphs is at most $a^{i-1}|L|/k$ and consequently, the number of levels is $O(\log |L|)$. Further, we spend $O(N)$ time at each level, by Lemma 5.1. Hence the above procedure runs in $O(N \log |L|)$ time.

To bound the size of C, let $n_1, \ldots, n_\ell$ be the sizes of the subgraphs at some level $i \geq 1$. The total number of vertices added to C by splitting these subgraphs is at most $O(\sum_{j=1}^{\ell} \sqrt{mm'n_j})$.

This number is $O(a^{(i-1)/2}|L|\sqrt{mm'}/\sqrt{k})$, since $\ell \leq a^{i-1}|L|/k$ and $\sum_{j=1}^{\ell} n_j \leq |L|$. Hence $|C| = O(|L|\sqrt{mm'}/\sqrt{k})$. □

Theorem 5.3 In $O(\max\{N \log |L|, 2^k|L|\})$ time, we can find an independent set I in G_L such that the relative error in the size of I is $O((mm')^{3/2}/\sqrt{k})$.

Proof: Apply Theorem 5.2 to G_L and find the set C. In each connected component of $G - C$, find a maximum independent set by an exhaustive search. Let I be the union of all such independent sets. Consider any maximum independent set I^* in G. Observe that $|I^*| = \Omega(|L|/(mm'))$, since every vertex in G_L has degree $O(mm')$. Notice that the restriction of I^* to any connected component cannot be larger than the restriction of I to the same component. Thus, the difference in the sizes of I and I^* is at most the size of C, which is $O(|L|\sqrt{mm'}/\sqrt{k})$. Consequently, the relative error in the size of I is $(|I^*| - |I|)/|I^*| = O((mm')^{3/2}/\sqrt{k})$.

To bound the time complexity, observe that the exhaustive search in each component takes $O(k \cdot 2^k)$ time. Thus the search over all components takes time $O(2^k|L|)$. Finally, by Theorem 5.2, C can be found in $O(N \log |L|)$ time. □

Theorem 5.3 gives a trade-off between the running time of the algorithm and the quality of the solution. For small size and constant-size patterns, we have the following result by taking $k = \lfloor \log \log |L| \rfloor$.

Corollary 5.4 If PAT is of size $o((\log \log |L|)^{1/3})$, then a solution to MPM_2 of relative error $o(1)$ can be constructed in $O(N \log |L|)$ time.

Corollary 5.5 If PAT is of constant size, then a solution to MPM_2 of relative error $O(1/\sqrt{\log \log |L|})$ can be constructed in $O(N \log |L|)$ time.

6 An Approximation Scheme for MPM_2

6.1 Basic Technique

The *shifting strategy* was used by Baker [4] for obtaining polynomial time approximation schemes (PTAS) for problems restricted to planar graphs, by Hochbaum and Maass [19, 20] for devising PTAS for certain covering and packing problems in the plane, and by Feder and Greene [12] for obtaining a PTAS for a certain location problem.

We outline the basic technique by discussing our approximation scheme for MPM_2 with constant size pattern. Without loss of generality, we may assume that the intersection graph G_L of the set L of locations of PAT in T is connected. As in the previous section, we divide T into supercolumns composed of $m - 1$ consecutive columns of T (except the last one). For an $\epsilon > 0$, we calculate the smallest integer k such that $(\frac{k}{k+1}) \geq 1 - \epsilon$. Next, for each i, $0 \leq i \leq k$, we disconnect G_L into r_i subgraphs $G_{i,1}, \cdots G_{i,r_i}$ by removing the

vertices of G_L corresponding to the locations of L in supercolumns with number congruent to $i \mod (k+1)$. (A location is said to lie in a given subarray if its left-upper corner lies in that subarray). For each subgraph $G_{i,p}$, $1 \leq p \leq r_i$, we find an optimal independent set in $G_{i,p}$. The independent set for this partition is just the union of independent sets for each $G_{i,p}$. By an argument similar to the shifting lemma in [19], it follows that the iteration in which the partition yields the largest solution value contains at least $(\frac{k}{k+1}) \cdot |OPT(G_L)|$ vertices, where $OPT(G_L)$ denotes a maximum independent set in G_L. The algorithm takes $O(n)$ work. It is easy to see that the algorithm admits an NC implementation. We are now ready to give our approximation scheme for MPM_2. The algorithm is outlined below.

ALGORITHM MAX-MATCH:

- *Input:* A pattern PAT of constant size $m \times m'$, a text array T of size $n \times n'$ and the intersection graph G_L of the locations of PAT in T.

1. Find the smallest integer k such that $(\frac{k}{k+1}) \geq 1 - \epsilon$.
2. Divide T into supercolumns of width $m - 1$
3. **For** each i, $0 \leq i \leq k$ **do**
   (a) Disconnect G_L into r_i disjoint subgraphs $G_{i,1} \cdots G_{i,r_i}$ by removing all the vertices corresponding to locations of PAT in supercolumns with numbers congruent to $i \mod (k+1)$.
   (b) $G_i \leftarrow \bigcup_{1 \leq j \leq r_i} G_{i,j}$.
   (c) Compute an optimal independent set $IS(G_{i,j})$ in $G_{i,j}$.
   (d) $IS(G_i) \leftarrow \bigcup_{1 \leq j \leq r_i} IS(G_{i,j})$.
4. $IS(G_L) \leftarrow \max_{0 \leq i \leq k} IS(G_i)$

- *Output:* An independent set in G_L with at least $(\frac{k}{k+1}) \cdot |OPT(G_L)|$ vertices.

6.2 Finding an optimal solution in Step 3c

We now discuss how to obtain an optimal solution for the independent set problem in Step 3c of ALGORITHM MAX-MATCH. For each fixed $k > 0$, the subgraph $G_{i,j}$ obtained in Step 3a has treewidth $\leq ck$, for some constant $c > 0$. Given this we can use the sequential (or NC) algorithms for computing the maximum independent set in treewidth bounded graphs [7, 28]. Thus the optimal independent set in Step 3c can be found by using $O(|L_{i,j}|)$ work. Here $L_{i,j}$ denotes the vertex set of the graph $G_{i,j}$.

6.3 Performance Guarantee

We next prove that the algorithm given above indeed computes a near optimal independent set. That is, given any $\epsilon > 0$ the algorithm will compute an independent set whose size is at

least $(1-\epsilon)$ times that of an optimal independent set.

We first prove that of all the different iterations for i, at least one iteration has the property that the number of vertices that are not considered in the independent set computation is a small fraction of an optimal independent set.

Recall that for each i we did not consider the vertices in every k+1 supercolumn of T. For each i, $0 \leq i \leq k$, let S_i be the set of vertices of G_L which were **not** considered in the i-th iteration. Let $IS_{opt}(S_i)$ denote the vertices in the set S_i which were chosen in the maximum independent set $OPT(G_L)$.

Lemma 6.1

$$\max_{0 \leq i \leq k} |OPT(G_i)| \ \geq \ \frac{k}{(k+1)} |OPT(G_L)|$$

Proof: First observe that the following equations hold:

$$0 \leq i, j \leq k, \ i \neq j, \ S_i \cap S_j = \phi$$

since different subgraphs are considered in different iterations. From the above set of equations it follows that

$$|IS_{opt}(S_0)| + |IS_{opt}(S_1)| + \cdots + |IS_{opt}(S_k)| = |OPT(G_L)|$$

Therefore,

$$\min_{0 \leq t \leq k} |IS_{opt}(S_t)| \leq |OPT(G_L)|/(k+1)$$

$$\max_{0 \leq i \leq k} |OPT(G_i)| \geq |OPT(G_L)| - \min_{0 \leq t \leq k} |IS_{opt}(S_t)| \geq \frac{k}{(k+1)} |OPT(G_L)|$$

□

Theorem 6.2 $|IS(G_L)| \geq (\frac{k}{k+1}) \cdot |OPT(G_L)|$.

Proof: We consider the iteration when the value of i is such that $|OPT(G_i)| \geq (\frac{k}{k+1})|OPT(G_L)|$. By Lemma 6.1 such an i exists. Fix the iteration i.

$$|OPT(G_i)| \quad = \quad \textstyle\sum_{j=1}^{j=r} |OPT(G_{i,j})|$$

Using the above equations we get that

$$\begin{aligned}
|IS(G_L)| \quad &= \quad \max_{0 \leq i \leq k} |IS(G_i)| \\
&= \quad \max_{0 \leq i \leq k} \textstyle\sum_{j=1}^{j=r} |IS(G_{i,j})| \qquad \text{(By Step 3(b))} \\
&= \quad \max_{0 \leq i \leq k} |OPT(G_i)| \qquad \text{(By Step 3(c))} \\
&\geq \quad (\tfrac{k}{k+1}) \cdot |OPT(G_L)| \qquad \text{(By Lemma 6.1)}
\end{aligned}$$

□

The time required for each iteration of the **For** loop is $\Sigma_{j=1}^{j=r_i} O(|L_{i,j}|) = O(|L|)$. Hence the total running time of our algorithm is $\Sigma_{i=0}^{i=k} O(|L|) + O(n/m) = O(|L|) + O(n/m)$ (in case of the NC-algorithm the total amount of work is $O(|L|) + O(n/m)$). Moreover, the algorithm has a performance guarantee of $(k+1)/k$.

7 Extensions

We briefly outline the possible extensions of our ideas presented in the previous sections.

Higher Dimensional Matching Problems

Our approximation algorithms for MPM_2 directly extend to solve the problems MPM_d for any fixed $d > 2$. This can be seen by observing the following. For each fixed $d > 0$ there is an $r > 0$ such that the intersection graph associated with the problem MPM_d is r-claw free. Also note that the d-dimensional geometric graphs have also good separator properties [26, 11]. Finally, note that the shifting strategy can be easily extended to apply to d-dimensional rectangles. The performance guarantee of the algorithm based on the shifting strategy for solving MPM_d is $(\frac{k+1}{k})^{d-1}$.

Multiple Matchings

Idury and Schäffer [21] consider a variant of the classical matching problem in which we are given a set of patterns instead of single pattern. Our results extend to handle the optimization version of the multiple pattern matching problem studied in [21]. If the number of patterns and the size of each pattern is fixed, our approximation schemes can be extended to obtain approximation schemes for the generalization. To see this, note that although the rectangle graph induced now does not have equisized rectangles, we can subdivide the plane with respect to the largest rectangle. Furthermore, since the rectangles are of fixed size, for each $\epsilon > 0$, the treewidth of the subgraphs obtained as a result of decomposition is still a constant. With these two observations in mind the extension is fairly straightforward.

Non-Rectangular shapes

As pointed out in Amir and Farach [1], several practical applications require us to match *non-rectangular* shapes. Using ideas similar to those outlined for the Multiple matching case, the approximation schemes for MPM_2 can also be extended to the case when we have fixed sized patterns that are non-rectangular, e.g., L-shaped patterns.

Allowing Mismatches

Amir and Farach [1] also study the two dimensional pattern matching problem in which we are allowed a certain number of mismatches. Our approximation algorithms extend to finding a maximum number of non-overlapping patterns such that no more than k mismatches are allowed per matched location.

Acknowledgments: We thank Harry Hunt III, S.S. Ravi (SUNY- Albany) and Pat Kelly (Los Alamos National Laboratory) for fruitful discussions during the course of writing this paper. We also thank Jop Sibeyn for bringing reference [26] to our attention.

References

[1] A. Amir, and M. Farach, "Efficient 2-dimensional Approximate Matching of Non-Rectangular Figures," *Proc. 2nd Annual ACM-SIAM Symposium on Discrete Algorithms (SODA),* 1992, pp. 212-223.

[2] A. Amir, G. Benson and M. Farach, An Alphabet-independent Approach to Two-Dimensional Matching, SIAM J. Comput. 23(2) (1994), pp. 313–323.

[5] B.S. Baker, A Theory of Parameterized Pattern Matching: Algorithms and Applications, Journal of Computer and System Sciences (JCSS) 52(1) (1996), pp. 28–42.

[3] T. P. Baker, A technique for extending rapid exact-match string matching to arrays of more than one dimension, SIAM J. Comput. 7(4) (1978), pp. 533–541.

[4] B.S. Baker. "Approximation Algorithms for NP-complete Problems on Planar Graphs," *24th IEEE Symposium on Foundations of Computer Science (FOCS),* 1983, pp 265-273. (Journal version in *J. ACM,* Vol. 41, No. 1, 1994, pp. 153-180.)

[5] B.S. Baker, "A Theory of Parameterized Pattern Matching: Algorithms and Applications," *Proc. 25th ACM Symposium on Theory of Computing,* 1993, pp. 71-80. Journal version to appear in *Journal of Computer and System Sciences (JCSS).*

[6] R. S. Bird. "Two-dimensional pattern matching," *Information Processing Letters* No. 6, 1977, pp. 168-170.

[7] H. L. Bodlaender, "Dynamic programming on graphs of bounded treewidth," *Proc. 15th International Colloquium on Automata Languages and Programming (ICALP)*, LNCS Vol. 317, 1988, pp. 105-118.

[8] "CANDID Project," Los Alamos National Laboratory, 1993.

[9] M. Crochemore and W. Rytter. *Text Algorithms,* Oxford University Press, New York, 1994.

[10] A. Czumaj, Z. Galil, L. Gasieniec, K. Park and W. Plandowski, "Work-Time-Optimal Parallel Algorithms for String Problems," *27th ACM Symposium on Theory Of Computing (STOC),* pp. 713-722, 1995.

[11] D. Eppstein, G.L. Miller, S.H. Teng. "A Deterministic Linear Time Algorithm for Geometric Separators and its Application," *9th ACM Symposium on Computational Geometry,* pp 99-108, 1993.

[12] T. Feder and D. Greene. "Optimal Algorithms for Approximate Clustering", *20th ACM Symposium on Theory Of Computing (STOC),* pp. 434-444, 1988.

[13] R.J. Fowler, M.S. Paterson and S.L. Tanimoto. "Optimal Packing and Covering in the Plane are NP-Complete," *Information Processing Letters*, Vol 12, No.3, June 1981, pp. 133-137.

[14] G.H. Gonnet and R. Baeza Yates, *Handbook of Algorithms and Data Structures,* Addison-Wesley, Reading, MA, 1991.

[15] F. Gavril, "The intersection graphs of subtrees in trees are exactly the chordal graphs," *J. Combin. Theory B,* 16, 1974, pp. 47-56.

[16] F. Gavril, "Algorithms for minimum coloring, maximum clique, minimum covering by cliques, and maximum independent set of a chordal graph," *SIAM J. Computing,* 1, 1972, pp. 180-187.

[17] M.M. Halldórsson, "Approximating Discrete Collections via Local Improvement," *Proc. 6th Annual ACM-SIAM Symposium on Discrete Algorithms (SODA),* 1995, pp. 160-169

[18] F. Harary, *Graph Theory,* Addison-Wesley, Reading, Massachusetts, 1979.

[19] D.S. Hochbaum and W. Maass, "Approximation Schemes for Covering and Packing Problems in Image Processing and VLSI," *J. ACM,* Vol 32,No. 1, 1985, pp 130-136.

[20] D.S. Hochbaum and W. Maass, "Fast Approximation Algorithms for a Nonconvex Covering Problem," *Journal of Algorithms,* Vol. 8, 1987, pp. 305-323.

[21] R. Idury and A. Schäffer, "Multiple Matching of Rectangular Figures," *Proc. 25th ACM Symposium on Theory of Computing,* 1993, pp. 81-89.

[22] P.M. Kelly, T.M. Cannon, and D.R. Hush, "Query by image example: the CANDID approach," *SPIE Vol. 2420 Storage and Retrieval for Image and Video Databases III,* pages 238-248, 1995.

[23] P.M. Kelly and T.M. Cannon, "CANDID: Comparison Algorithm for Navigating Digital Image Databases," *In Proc. of the 7th International Working Conference on Scientific and Statistical Database Management, pages 252-258. Charlottesville, VA,* Sept., 1994.

[24] S. R. Kosaraju, "Faster Algorithms for the Construction of Parameterized Suffix Trees," *36th IEEE Symposium on Foundations of Computer Science (FOCS),* 1995, pp 631-637.

[25] R. J. Lipton and R. E. Tarjan, "Applications of a planar separator theorem," *SIAM J. Computing,* 9, 1980, pp. 615-627.

[26] G.L. Miller, S.-H. Teng and S.A. Vavasis, "A Unified Geometric Approach to Graph Separators," *Proc. of the 32nd IEEE Symposium on Foundations of Computer Science,* 1991, pp. 538-547.

[27] F. P. Preparata and M. I. Shamos, *Computational Geometry,* Springer Verlag, New York, 1985.

[28] N. Robertson and P. Seymour, Graph Minors II. Algorithmic aspects of tree-width, J. Algorithms 7(2) (1986), pp. 309–322.

[29] D. J. Rose, R. E. Tarjan and G. S. Lueker, "Algorithmic aspects of vertex elimination on graphs," *SIAM J. Computing,* 5, 1976, pp. 266-283.